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ONE-POT ASYMMETRIC 6π -AZAELECTROCYCLIZATION AS A NEW STRATEGY FOR ALKALOID SYNTHESIS

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Abstract – The one-pot asymmetric 6π -azaelectrocyclization of alkenyl vinyl stannane, ethyl (*Z*)-2-iodo-4-oxobutenoate, and (–)-7-isopropyl-*cis*-aminoindanol in the presence of a Pd(0) catalyst stereoselectively produced the tetracyclic aminal compounds, resulting from the four-bond formation by controlling the stereochemistry at the two created asymmetric centers. This asymmetric one-pot protocol was developed based on the studies of the previously established asymmetric azaelectrocyclization, and the produced cyclic aminals can be regarded as synthetic precursors of 2,4-disubstituted chiral piperidines. Furthermore, we also developed a new version of the one-pot asymmetric 6π -azaelectrocyclization using *t*-butyl (*Z*)-3-formyl-2-iodopentenoate instead of ethyl (*Z*)-2-iodo-4-oxobutenoate, which directly afforded the precursors of the 2,4,5-trisubstituted piperidines. The syntheses of the 2,4- and 2,4,5-substituted piperidines were realized by the chemoselective reduction of the conjugated double bond to the ester group in the one-pot azaelectrocyclization products. The synthesis of the 2,4,6-trisubstituted piperidines was achieved by the stereocontrolled alkylation of the aminal moiety in the resulting cyclized products as a key step. The 2,3,4-trisubstituted piperidines were also synthesized utilizing the stereoselective 1,4-addition reaction of the unsaturated ester with a Grignard reagent resulting from the novel neighboring group participation. By applying this protocol, the total syntheses of (–)-dendroprimine, an indolizidine alkaloid, containing the 2,4,6-trisubstituted piperidine motif and (–)-20-epiuleine, a strychnos-type indole alkaloid, containing the 2,3,4-trisubstituted piperidine motif, were achieved.

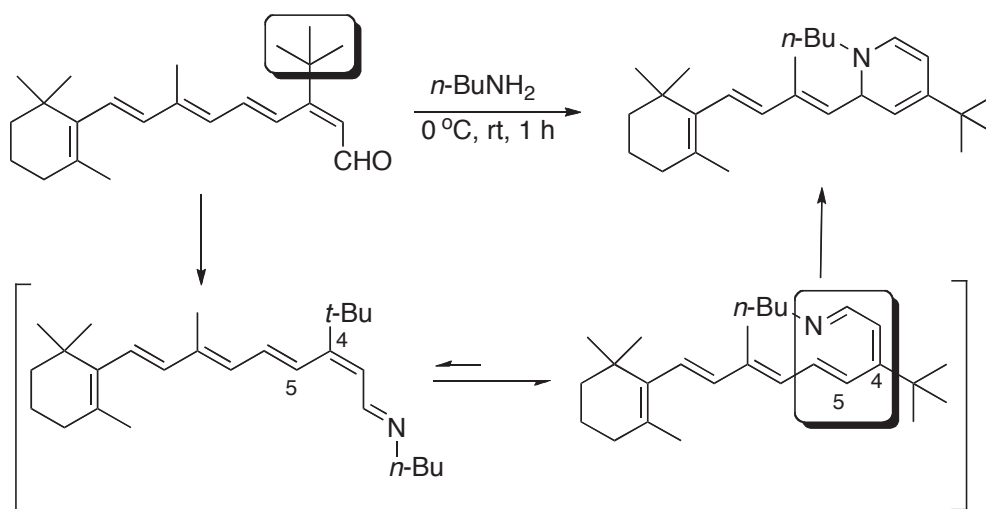
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1. INTRODUCTION

The establishment of a new synthetic strategy for naturally-occurring products utilizing an efficient reaction is one of the significant tasks for organic chemists. For example, the Diels-Alder reaction has been frequently utilized to construct the cyclohexane core in complex natural compounds. In the last decade, we have focused on the concerted 6π -azaelectrocyclization reaction as a new synthetic strategy for naturally-occurring products possessing the pyridine or piperidine core.¹ The 6π -azaelectrocyclization of 1-azatrienes into 1,2-dihydropyridines, which could be regarded as the useful precursor for polysubstituted pyridines and piperidines, is one of the well-known concerted pericyclic reactions.²⁻⁵ Although a number of examples of the 6π -azaelectrocyclization has been reported,^{6,7} the process required

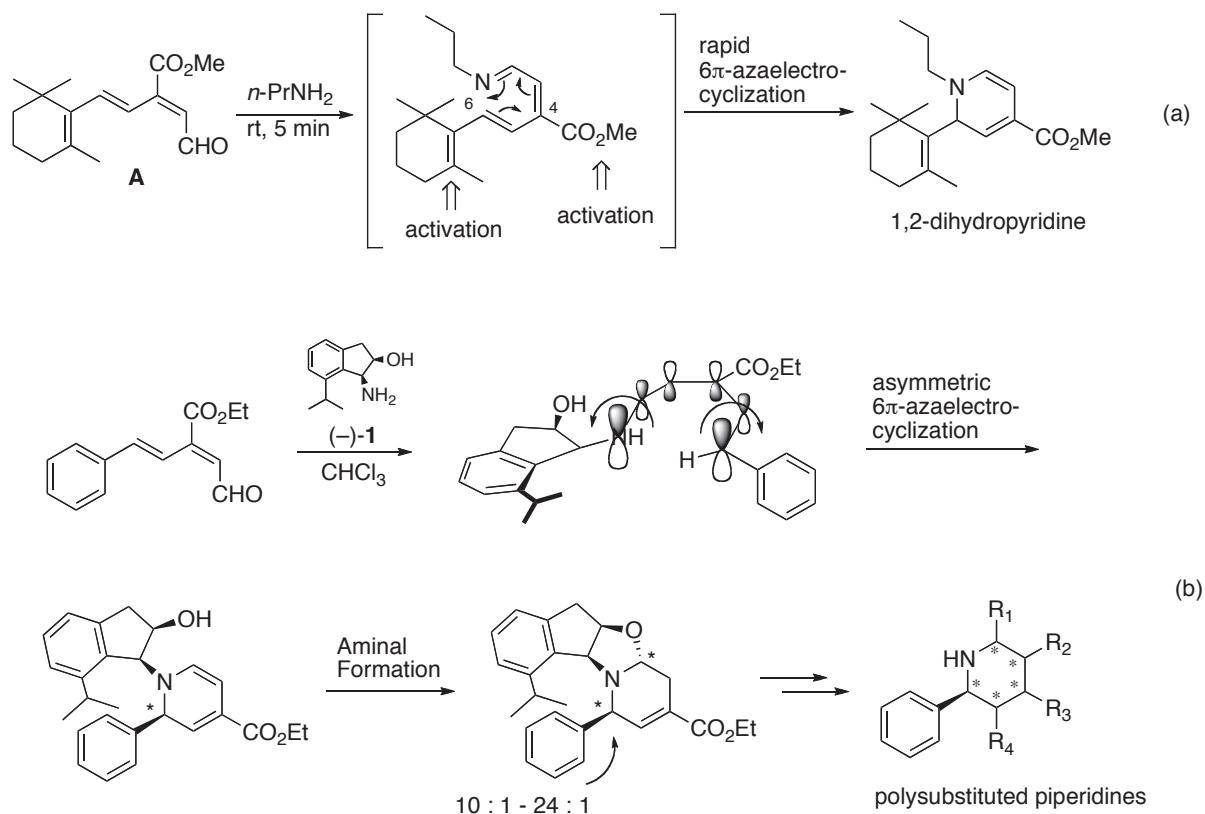
a high temperature and a long reaction time, and therefore, the study of the azacyclization reactivity was very limited in the literature as well as applicability of this cyclization to natural product synthesis. The pioneering work by Okamura and co-workers revealed that the *s-cis*-conformation at the 4,5-position of the azatrienes was very important for the smooth azaelectrocyclization (Scheme 1).^{5,8}



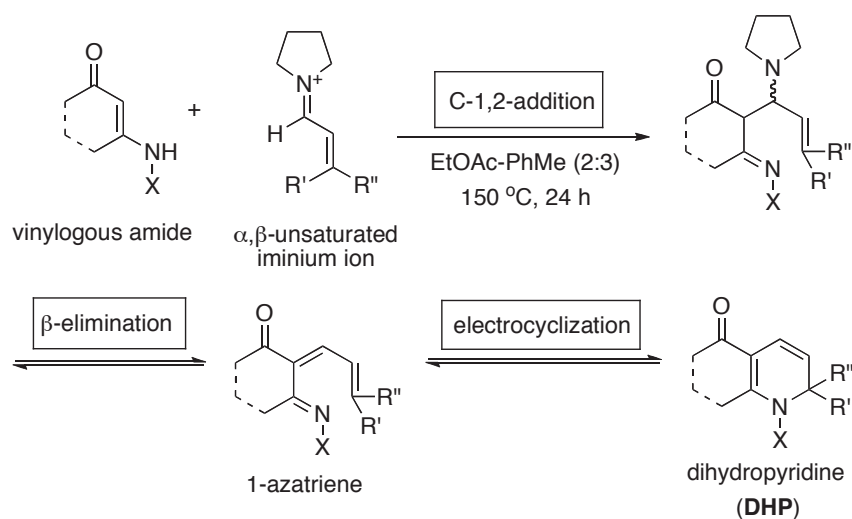
Scheme 1. Okamura's Example of Smooth 6 π -Electrocyclization of 1-Azatriene

In our studies regarding the enzyme inhibitory mechanism of unsaturated aldehydes,⁹ we found that the presence of both the C-4 ester functionality and C-6 alkenyl groups in the 1-azatrienes remarkably accelerated the azaelectrocyclization.¹⁰ Thus, the azaelectrocyclization of the azatriene prepared from (*E*)-3-methoxycarbonyl-2,4,6-trienal **A** and *n*-propylamine proceeded within 5 minutes at room temperature to produce the corresponding 1,2-dihydropyridine in quantitative yield (Scheme 2, a). This attractive and smooth reaction was applied to the one-pot pyridine synthesis, and the formal biomimetic synthesis of pyridinium bisretinoid, A2-E, was achieved.¹⁰⁻¹² Moreover, we also realized the highly stereoselective asymmetric azaelectrocyclization resulting from controlling the torque selectivity of the disrotatory cyclization during the thermal 6 π -electrocyclization, which was affected by the chiral nitrogen source under mild conditions.^{1a-c} This asymmetric azaelectrocyclization provided the chiral tetracyclic 2,4-disubstituted 1,2,5,6-tetrahydropyridine, which could be regarded as the useful precursor for chiral polysubstituted piperidines, in high yield with a high diastereoselectivity (Scheme 2, b).

At the same time as our first report on the asymmetric reaction, Hsung and coworkers successfully employed the stereoselective azaelectrocyclization of the conformationally restricted 1-azatrienes under thermodynamically equilibrated conditions, and applied it to a variety of alkaloid syntheses (Scheme 3).¹³



Scheme 2. (a) Acceleration of 6π -Azaelectrocyclization based on Substituent Effect
 (b) Asymmetric 6π -Azaelectrocyclization with Substituted 7-Isopropyl-*cis*-1-amino-2-indanol



Scheme 3. Hsung's Azaelectrocyclization under Thermodynamically Equilibrated Conditions

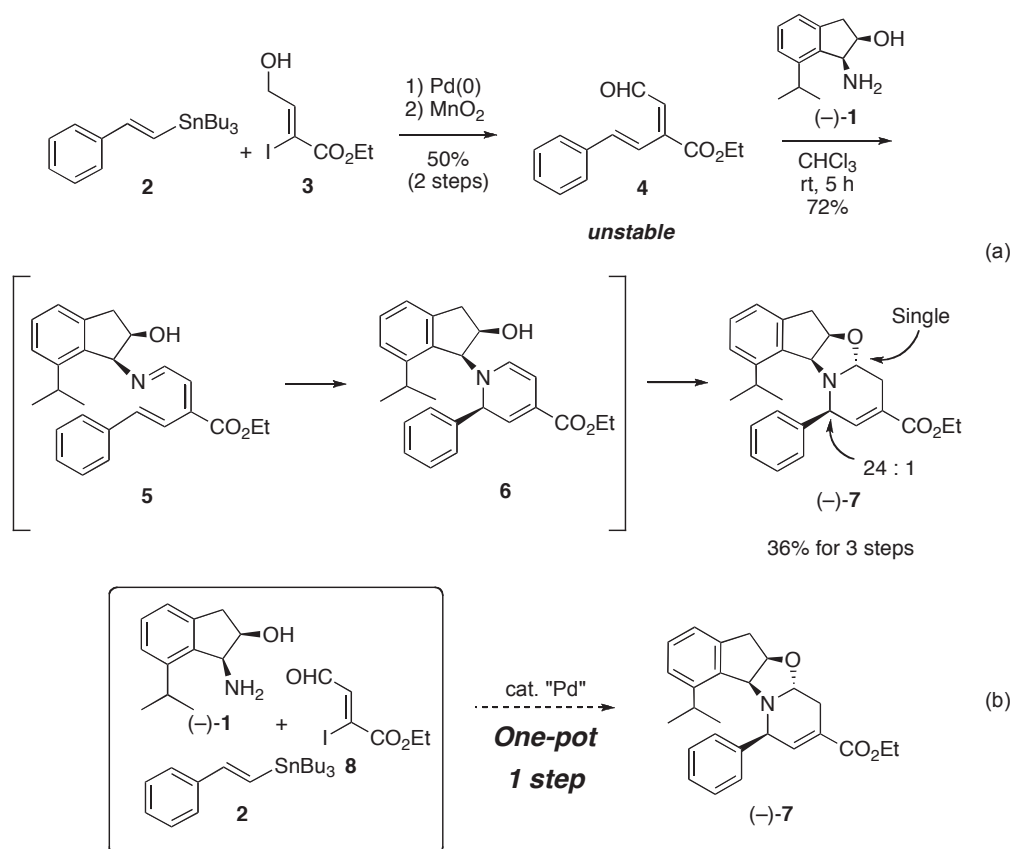
In order to establish a new strategy for the alkaloid synthesis, we investigated the more simple and highly efficient 'thermodynamically controlled' approach to the synthesis of substituted chiral piperidines bearing a variety of substituents at the C-2 position using a one-pot process directly from vinyl stannanes, vinyl halides and chiral amino indanol in the presence of the Pd(0) catalyst.^{1d} This new protocol would be widely applicable to the syntheses of the 2,3,4-,^{1h} 2,4,5-,^{1f} and 2,4,6-trisubstituted piperidine motifs.^{1e} We

now summarize our recent progress, especially focusing on the one-pot asymmetric 6π -azaelectrocyclization and its application to alkaloid syntheses containing the polysubstituted piperidine core.

2. ONE-POT 6π -AZAELECTROCYCLIZATION

2-1. Development of Asymmetric One-pot 6π -Azaelectrocyclization

As described in the introduction (Scheme 2), we have developed the highly stereoselective asymmetric 6π -azaelectrocyclization of conformationally flexible linear 1-azatrienes using the 7-isopropyl-substituted *cis*-aminoindanol derivatives. However, to establish the asymmetric 6π -azaelectrocyclization as a new strategy for the alkaloid synthesis, the operation, scale-up and substrate preparation for the azaelectrocyclization of the previous stepwise method needed to be reinvestigated. The stepwise synthesis of tetracyclic 2,4-disubstituted tetrahydropyridine (–)-7, a promising precursor for substituted piperidine derivatives, was obtained in 36% yield in three steps as shown in Scheme 4. The sequence involves the following steps: the Stille coupling of vinyl stannane **2** with vinyl iodide **3**, oxidation of the resulting allylic alcohol to the corresponding aldehyde using manganese dioxide, the azatriene formation by the reaction of the produced aldehyde **4** with (–)-7-isopropyl-*cis*-1-amino-2-indanol (–)-**1**, and the highly stereoselective 6π -electrocyclization of the resulting azatriene **5** to produce the corresponding

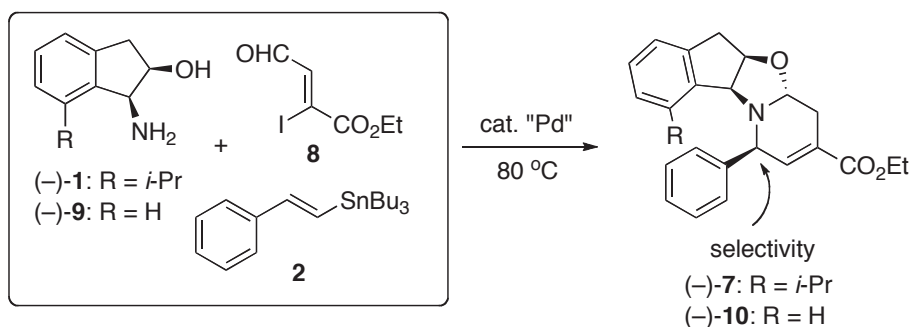


Scheme 4. (a) Stepwise Asymmetric Azaelectrocyclization (b) One-pot Asymmetric Azaelectrocyclization

dihydropyridine **6**, which was followed by the amination to give (–)-**7**. In order to establish this asymmetric cyclization as a practical method for alkaloid synthesis, we decided to investigate the tandem one-pot procedure of the sequence for the preparation of (–)-**7** by mixing three components of (*E*)-vinyl stannane **2**, vinyl iodide **8** and (–)-*cis*-1-amino-2-indanol derivative (–)-**1** in the presence of a palladium catalyst. Since such a one-pot protocol significantly reduces the experimental operations including the tedious isolation procedures for each reaction, much effort has been currently devoted to the development of new tandem reactions and the conversion of the existing multi-step synthesis into the one-pot procedures. To date, a number of the powerful one-pot asymmetric reactions has been reported.¹⁴

For the first trial, (*E*)-vinyl stannane **2** and vinyl iodide **8** were subjected to the Stille coupling, and subsequently, *cis*-aminoindanol (–)-**9** (commercially available) was added to the reaction mixture to affect the Schiff base formation followed by 6 π -azaelectrocyclization. The reaction, however, between **2** and **8** in the presence of Pd(PPh₃)₄ in dimethylformamide (DMF) at 80 °C provided a complex mixture. The next trial was then the first amination by the reaction of vinyl iodide **8** with aminoindanol (–)-**9** at room temperature, which was followed by the Stille coupling with stannane **2** and the tandem azaelectrocyclization. To our delight, by applying this procedure, the desired tetracyclic compound (–)-**10** was obtained in 28% yield with a 3:1 diastereoselectivity at C2 (Table 1, entry 1). Further examination of

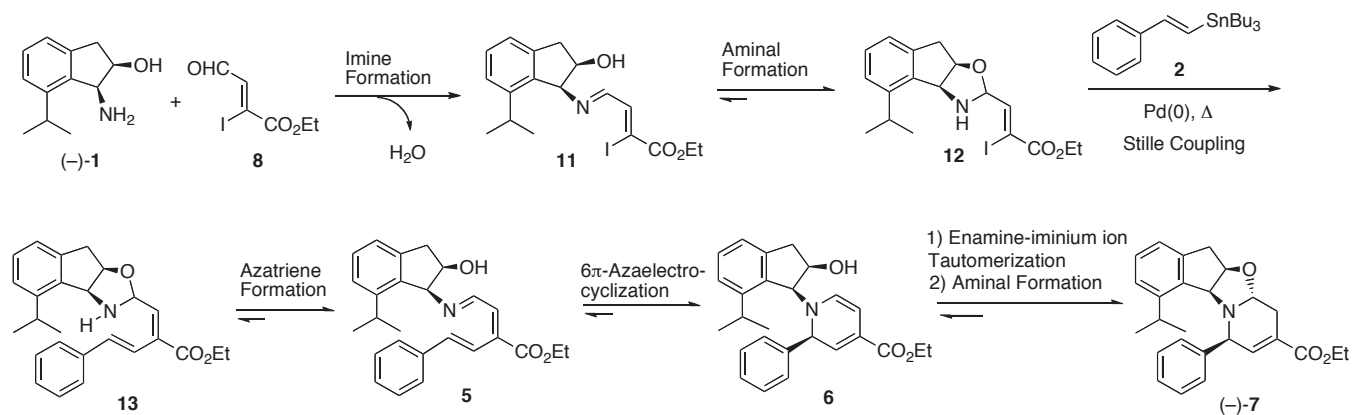
Table 1. Optimization of One-pot Azaelectrocyclization



entry	aminoindanol derivatives	catalyst	additive	solvent	time	yield	selectivity
1	(–)- 9	Pd(PPh ₃) ₄	LiCl	DMF	2 h	28%	3 : 1
2	(–)- 9	Pd(PPh ₃) ₄	LiCl	DMSO	4 h	12%	-
3	(–)- 9	Pd(PPh ₃) ₄	-	DMF	8 h	-	-
4	(–)- 9	Pd(PPh ₃) ₄	CuI	DMF	7.5 h	-	-
5	(–)- 9	Pd(CH ₃ CN) ₂ Cl ₂	LiCl	DMF	1 h	55%	-
6	(–)- 9	Pd ₂ (dba) ₃ , P(2-furyl) ₃	LiCl	DMF	1 h	70%	-
7	(–)- 9	Pd ₂ (dba) ₃ , P(2-furyl) ₃	LiCl MS 4A	DMF	1 h	82%	3 : 1
8	(–)- 1	Pd ₂ (dba) ₃ , P(2-furyl) ₃	LiCl MS 4A	DMF	1 h	82%	> 40 : 1

the solvent, additives, and Pd(0) catalyst led to the following informative observations: (i) DMF was a suitable solvent (entries 1 and 2); (ii) LiCl as an additive increased the product yields (entries 1, 3 and 4); (iii) the Pd₂(dba)₃/tri(2-furyl)phosphine system was the optimal Pd(0) catalyst (entries 1, 5 and 6);^{15,16} and (iv) MS 4A might efficiently trap the water produced during the amination formation, which would improve the Stille coupling reaction (entries 1, and 7). Finally, the optimized conditions mentioned in Table 1 produced (–)-**10** in 82% yield with a 3:1 diastereoselectivity, namely, using the Pd₂(dba)₃/tri(2-furyl)phosphine catalyst in the presence of LiCl and MS 4A in DMF at 80 °C (entry 7). After establishing the optimal reaction conditions for the one-pot procedure, we then examined the stereoselectivity of the azaelectrocyclization by applying isopropyl substituted aminoindanol (–)-**1**, which afforded the best selectivity in the stepwise protocol,^{1b} and the corresponding piperidine (–)-**7** was obtained in 82% yield as almost a single isomer (> 40:1 by ¹H NMR analysis) (entry 8). Note that this one-pot procedure successfully created four new bonds simultaneously and produced better results than the previous stepwise procedure shown in Scheme 4 (82% and > 40:1 for the one-pot protocol vs. 36% and 24:1 for the stepwise method).^{1b}

A possible mechanism for the established one-pot procedure is shown in Scheme 5. First, the formation of the cyclic aminal **12** from the aldehyde **8** and aminoindanol (–)-**1** was detected *in situ* by an ¹H NMR analysis. This aminal formation resulted in the protection of the unstable aldehyde moiety in **8**, and led to the successful Stille coupling with the vinyl stannane **2**. The coupling product **13** was transformed into the 1-azatriene **5** in a reversible process, which spontaneously cyclized into the corresponding dihydropyridine **6**. The tautomerization of the reactive enamine moiety in **6** proceeded to produce the corresponding iminium ion, which was immediately trapped by the neighboring hydroxyl group of the *cis*-aminoindanol, giving rise to the observed product, (–)-**7**.



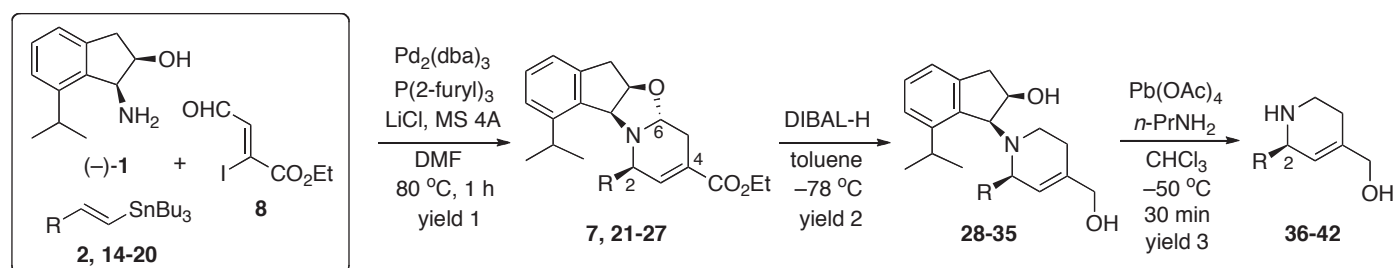
Scheme 5. Possible Mechanism Leading to (–)-**7** by One-pot Azaelectrocyclization

2-2. Synthesis of Chiral C-2 Aryl 2,4-Disubstituted Tetrahydropyridines

The developed one-pot asymmetric azaelectrocyclization procedure was applied to the synthesis of

2,4-disubstituted piperidine derivatives having various kinds of aryl substituents at the C-2 position (Table 2). Thus, a small chiral tetrahydropyridine library bearing indolyl (entries 2 and 3), quinolyl (entry 4), pyridyl (entries 5 and 6), and thiophenyl derivatives (entry 7) along with a vinyl derivative (entry 8) was successfully prepared. Note that all the tetracyclic heterocycles could be efficiently obtained with a high diastereoselectivity ranging from 12:1 to 20:1 with satisfactory yields (67-80%). Next, removal of the indanol moiety of the tetracyclic compound was examined. According to our previously reported method,^{1d} amina compounds were first reduced by lithium aluminum hydride, and the resulting diols were treated by manganese dioxide as a mild oxidant. However, in some cases, the chemical yield of the oxidation step was very low, and the procedure was sometimes not reproducible. After re-examining the reduction/oxidation conditions, we found that the diisobutylaluminum hydride (DIBAL-H) reduction followed by a lead tetraacetate treatment resulted in the clean removal of the hydroxyindane moiety.

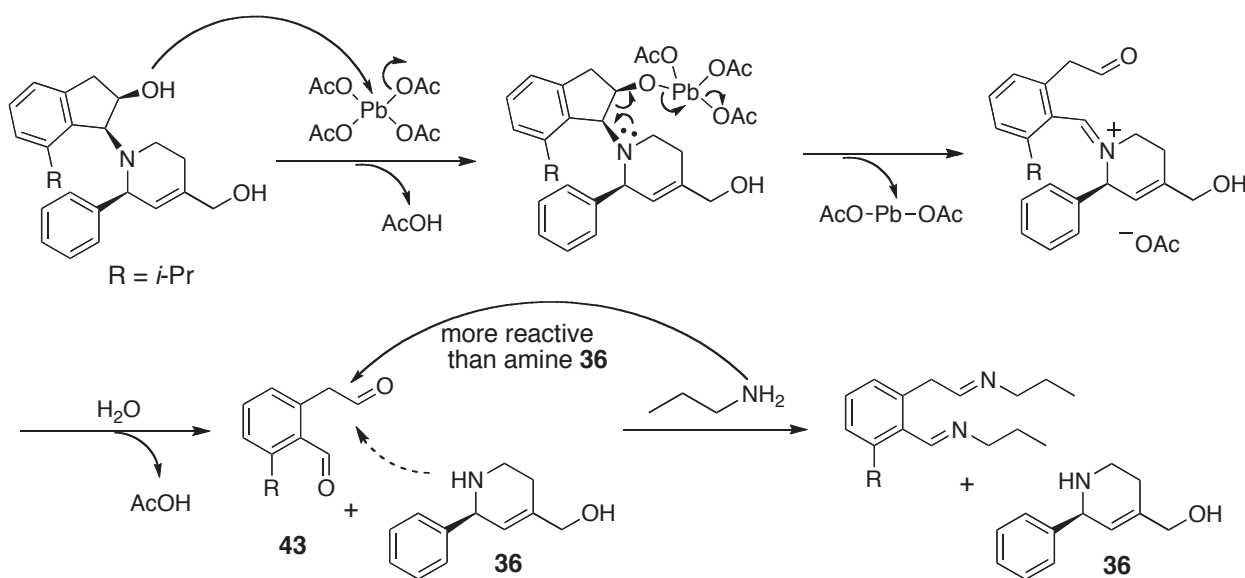
Table 2. Synthesis of 2,4-Disubstituted Tetrahydropyridines through One-pot Azaelectrocyclization



entry	R	yield 1 (%)	dr (at C2)	yield 2 (%)	yield 3 (%)
1	2	7 : 84	> 40 : 1	28 : 87	36 : 87
2	14	21 : 72	> 16 : 1	29 : 80	37 : 91
3	15	22 : 67	> 12 : 1	30 : 67	38 : 93
4	16	23 : 68	> 15 : 1	31 : 68	39 : 84
5	17	24 : 80	> 16 : 1	32 : 80	40 : 29
6	18	25 : 78	> 17 : 1	33 : 78	41 : 79
7	19	26 : 74	> 15 : 1	34 : 74	42 : 84
8	20	27 : 78	> 20 : 1	35 : 78	

Thus, the cyclic aminal compounds **7** and **21-27** were treated with DIBAL-H at $-78\text{ }^{\circ}\text{C}$ to provide the corresponding diols **28-35** in 69-87% yields. They were oxidized with lead tetraacetate¹⁷ in the presence of *n*-propylamine at $-50\text{ }^{\circ}\text{C}$ to afford the corresponding aminoalcohols **36-42** in 79-91% yields, except for one case, (–)-**40** (29%, entry 5).

The plausible mechanism is described in Scheme 6. The added amine would probably not only catch the liberated acetic acid to keep the reaction media under basic conditions, but also catch the produced dialdehyde **43** resulting from the oxidative cleavage of the aminoindanol moiety by the lead tetraacetate oxidation. It supported the fact that in the absence of *n*-propylamine in this oxidation, the yield was much lower because of the further reaction of the generated aldehyde **43** with the desired secondary amine **36**.

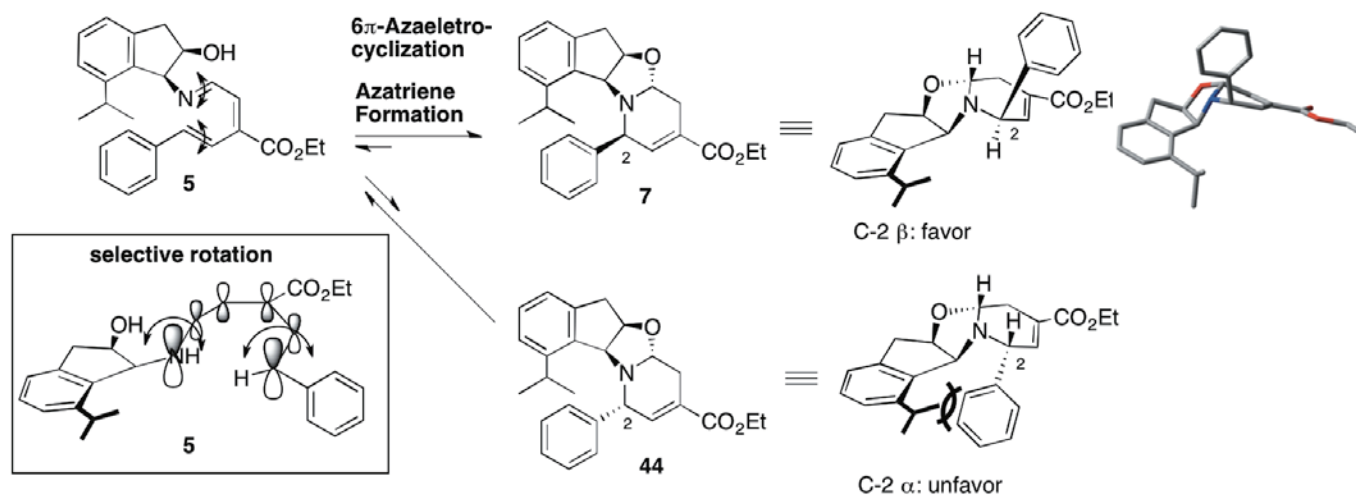


Scheme 6. Plausible Mechanism for Oxidative Cleavage of Indane Moiety

2-3. Analysis of High Stereoselectivity in One-pot Procedure

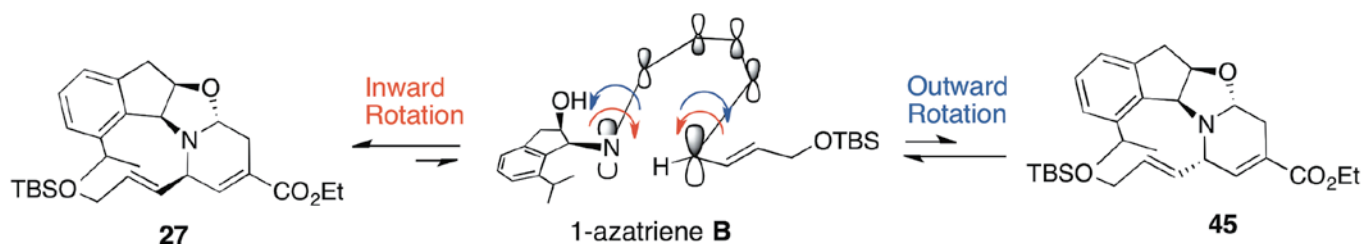
The one-pot procedure afforded compound (–)-**7** with a higher diastereoselectivity ($> 40:1$) than the stepwise procedure (24:1), and other 2-aryl-substituted derivatives (–)-**21**–(–)-**27** were also obtained with high stereoselectivities. In comparison to the stepwise procedure, which was believed to be a kinetically controlled one because the reaction was completed within 5 minutes at $24\text{ }^{\circ}\text{C}$ in chloroform and the asymmetric cyclization using (–)-**1** also proceeded at $24\text{ }^{\circ}\text{C}$, the one-pot procedure required heating at $80\text{ }^{\circ}\text{C}$ in DMF, and therefore the cyclization step of this three-component one-pot procedure must occur under thermodynamically controlled conditions. The stable conformation of compound **7** was optimized at a B3LYP/6-31G(d) level using Gaussian 03¹⁸ and the calculation gave the most stable conformation of **7** as shown in Scheme 7. In this conformer, the substituent at the C-2 position on the tetrahydropyridine ring has the β -pseudo axial orientation, and no severe steric interactions exists. On the other hand, in the case of the minor isomer **44**, the substituent at the C-2 position on the tetrahydropyridine ring is located in

the α -pseudo equatorial orientation. In this conformer, the steric interaction between the isopropyl substituent on the indan ring and the phenyl group at the C-2 position of the tetrahydropyridine ring apparently exists. Thus, the major isomer **7** is much more stable than **44**, and hence **7** must be preferentially produced in the thermodynamically controlled one-pot procedure.



Scheme 7. Conformational Analysis of Cyclized Products

We then tried to independently isomerize both products of the cyclization, major **27** and minor **45**. Both compounds were isolated by column chromatography and, in particular, **45** was collected from the reaction mixture of many cyclization experiments. On the other hand, **27** was used for the natural dendroprimine synthesis (see chapter 5-1). Compound **45** was dissolved in deuterated DMF in a NMR sample tube, and then the time-course of its isomerization at both 70 °C and 80 °C was monitored by ^1H NMR. The results are shown in Scheme 8. Heated at 70 °C, a small amount of **27** was detected with a large amount of **45** by ^1H NMR after 90 minutes. Heated at 80 °C, **45** gradually changed and **27** was clearly observed and the ratio of both compounds was 1:1 after 30 minutes. After 120 minutes, compound **27** became the major one with the ratio of > 8:1. On the other hand, although **27** was heated at 80 °C, **45** was not detected at all. These results obviously indicated that the reactions of 6π -aza-electrocyclization followed by enamine-iminium ion tautomerization and then aminal formation were reversible process, and most of the **45** converged to the more stable **27** at 80 °C through the inward rotation of the disrotatory 6π -aza-electrocyclization of the 1-azatriene **B** generated by the ring opening of **45** (Scheme 8). Thus, it was concluded that the thermodynamically more stable compound **27** was exclusively produced resulting from the reversible reactions of the 6π -aza-electrocyclization, enamine-iminium ion tautomerization and aminal formation during the one-pot procedure at 80 °C. In addition, a rough energy estimation of the stable conformations **27** and **45** by Spartan '02 (Wave function, Inc., Irvine, CA)¹⁹ showed that the conformation of **27** was more stable than that of **45** by 7.8 kcal/mol.



Temperature	Time	27	:	45	Temperature	Time	27	:	45
70 °C	0 min.	0	:	100	80 °C	30 min.	1	:	1
	90 min.	1	:	9		90 min.	4	:	1
	120 min.	1	:	4		120 min.	> 8	:	1

Scheme 8. Isomerization Experiments of the Cyclized Products

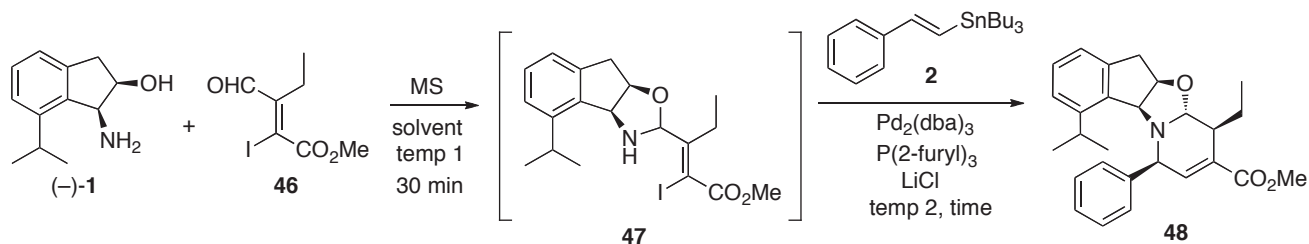
3. ONE-POT ASYMMETRIC 6π -AZAELECTROCYCLIZATION TO PRODUCE CHIRAL 2,4,5-TRISUBSTITUTED PIPERIDINES

3-1. Development of One-pot Asymmetric 6π -Azaelectrocyclization to Produce Chiral 2,4,5-Trisubstituted Piperidines

In order to demonstrate the asymmetric 6π -azaelectrocyclization as a powerful strategy for the polysubstituted piperidine synthesis, we found a new version of the one-pot asymmetric procedure using the tetrasubstituted vinyl iodide **46**²⁰ (Table 3) instead of the trisubstituted one **8** (Table 2) to directly produce 2,4,5-trisubstituted tetrahydropyridines.^{1g} The piperidine derivative having this substituent motif was not easily synthesized from the readily prepared 2,4-disubstituted aminal **7** (Scheme 7), although the introduction of an alkyl group to the C-3 and C-6 positions of **7** would be possible.

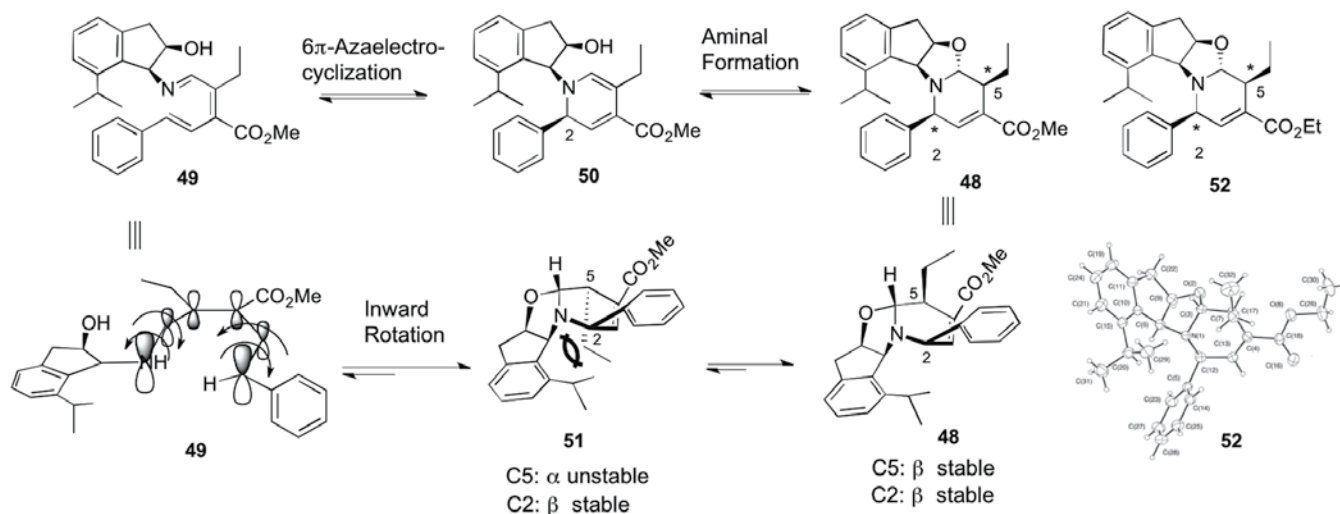
For the achievement of this new version of the one-pot azacyclization, we had to carefully consider the reaction conditions of each step in the one-pot reaction; one was the aminal formation and the other was the Stille coupling in addition to the electrocyclization step. In Table 3, although the reaction conditions mentioned in entry 1 were successful for the one-pot reaction using trisubstituted olefins **8**, the complex mixture was obtained in the case of the tetrasubstituted olefin **46**. After a detailed examination of the solvent and the reaction temperature (Table 3), it was found that dioxane was the most suitable solvent and 80 °C was needed for the satisfactory aminal formation to produce **47**. In addition, the azaelectrocyclization of the azatriene having a tetrasubstituted olefin and a terminal phenyl group proceeded under refluxing dioxane to give **48**. The optimized reaction conditions are shown in entry 6 to produce the desired product **48** in 77% yield as a single isomer.

Table 3. Optimization of Reaction Condition for One-pot Asymmetric Azaelectrocyclization Protocol Using Tetrasubstituted Vinyl Iodide



entry	solvent	MS	temp 1 (°C)	temp 2 (°C)	time (h)	yield (%)
1	DMF	MS 4A	rt	80	1	mixture
2	DMF	MS 4A	80	100	2	33
3	NMP	MS 4A	80	100	2	21
4	CH ₃ CN	MS 4A	reflux	reflux	5	69
5	THF	MS 4A	reflux	reflux	24	53
6	1,4-Dioxane	MS 5A	80	reflux	5	77

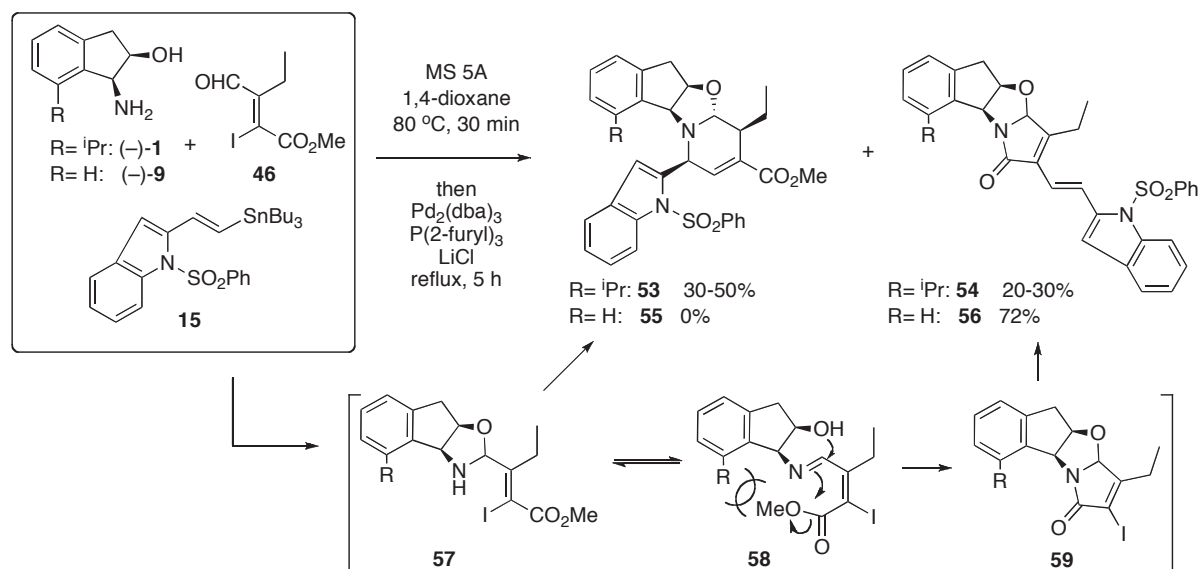
The relative stereochemistry of the obtained compound **48** was unambiguously determined by an X-ray crystallographic analysis of the corresponding ethyl ester derivative **52** (Scheme 9).²¹ The X-ray crystallographic figure clearly showed that the newly created asymmetric centers at both the C-2 and C-5 positions had the β -configuration, which made the molecule thermodynamically more stable than that having the α -configuration. It was noteworthy that this one-pot procedure successfully created four new bonds completely controlling the stereochemistry at the three generated asymmetric centers resulting from converging upon the most stable compound under the thermodynamically controlled conditions.



Scheme 9. Analysis of Stereochemistry of One-pot Product

3-2. Synthesis of Chiral 2,4,5-Trisubstituted Tetrahydropyridines

In order to investigate the generality of the new version for the one-pot asymmetric azaelectrocyclization reaction, we tried to apply the established reaction conditions (Table 3) to another system using 2-indolyl vinyl stannane **15** (Scheme 10). When the reaction was attempted under the conditions mentioned in entry 6 of Table 3, the desired **53** was unexpectedly obtained in only 30–50% yield along with the lactam compound **54** as a major byproduct in 20–30% yield. Furthermore, when the aminoindanol with no isopropyl substituent (–)-**9** was used, the lactam compound **56** was exclusively obtained in 72% yield. A plausible mechanism for the lactam formation is shown in Scheme 10. The aminoindanol with no isopropyl substituent (–)-**1** or (–)-**9** first reacted with the tetrasubstituted vinyl iodide **46** to produce the imine **57**. Prior to the desired Stille coupling with **15**, compound **57** would then isomerize to **58** under the reversible process due to the presence of the ethyl group. Next, a very rapid intramolecular aminal formation followed by lactamization would occur to give the stable lactam iodide **59**,²² which would undergo the Stille coupling with **15** to give the undesirable conjugated lactam **54** and **56**. This speculation obviously indicated that the *E, Z* isomerization of the imine **58** would be critical for the lactam formation, and strongly suggested the possibility that the higher steric repulsion between the substituent R on the aminoindanol derivative and the ester group of the vinyl iodide moiety in **58** could suppress the *E, Z* isomerization resulting in the lactam formation.



Scheme 10. Possible Mechanism for Lactam Formation

To prevent the undesired isomerization, we then attempted to use the tetrasubstituted vinyl iodide **60**²⁰ possessing a bulky *tert*-butyl ester group. As expected, the one-pot reaction proceeded quite well and the desired tetracyclic compound **61** was obtained in 77% yield as a single diastereomer with no lactam formation (Table 4, entry 1). The obtained compound **61** was easily converted into the 2,4,5-trisubstituted

Table 4. Synthesis of 2,4,5-Trisubstituted 2,5-Chiral Tetrahydropyridines

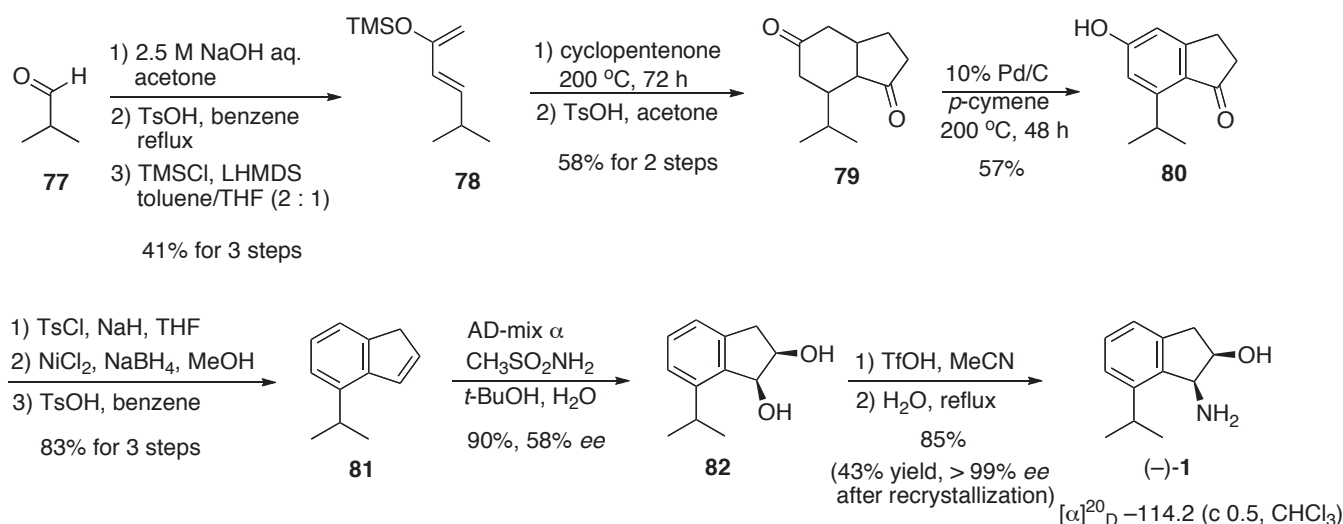
entry	R	yield 1 (%)	yield 2 (%) (2 steps)
1		61	69
2		62	70
3		63	71
4		64	72
5		65	73
6		66	74
7		67	75
8		68	76

2,5-chiral tetrahydropyridine **69** by following the previously established procedure mentioned in Table 2 (Chapter 2-2). Thus, the reduction of **61** with DIBAL-H at -78 °C followed by the oxidative removal of the resulting indanol moiety with lead tetraacetate in CHCl_3 in the presence of *n*-propylamine at -50 °C afforded the desired **69** in 59% yield for two steps. Moreover, as shown in Table 4, the established reaction conditions including the utilization of the *tert*-butyl ester were successfully applied to the one-pot asymmetric 6π -azaelectrocyclization with various aryl and also alkenyl vinyl stannanes in good yields with excellent stereoselectivities. The obtained tetracyclic amins (**61-68**) were transformed into the corresponding tetrahydropyridine derivatives by the established procedure. Thus, a very effective and

straightforward synthetic procedure for the preparation of 2,4,5-trisubstituted 2,5-chiral tetrahydropyridines was established.

3-3 Improved Synthesis of Chiral 7-Isopropyl-*cis*-Aminoindanol

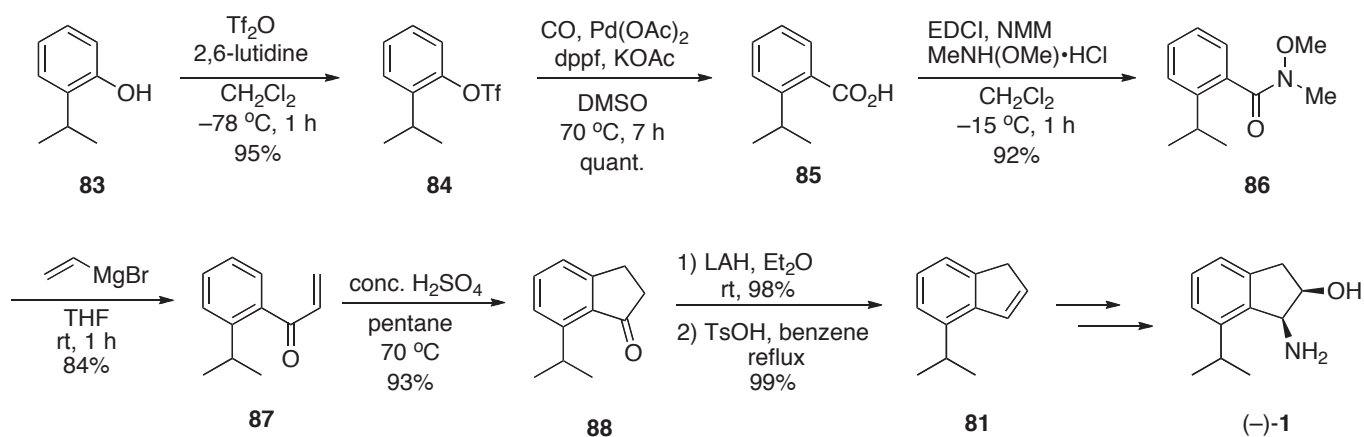
For the asymmetric 6π -azaelectrocyclization, 7-isopropyl-*cis*-aminoindanol (–)-**1** afforded a high diastereoselectivity. In addition, this chiral nitrogen source was essentially important to obtain the 2,4,5-trisubstituted tetrahydropyridine derivatives such as compounds **69-76** (Table 4) in satisfactory yields in a stereocontrolled manner as described in Chapter 3-2. The first-generation synthesis of 7-isopropyl-*cis*-aminoindanol is shown in Scheme 11.²³ The isopropyl substituted indene was obtained by the Diels–Alder reaction of the 1-substituted diene **78** with cyclopentenone followed by aromatization, reductive removal of the phenolic hydroxyl group and dehydration. The asymmetric dihydroxylation of the resulting indene derivatives **81** followed by the Ritter reaction gave the desired (–)-7-isopropyl-*cis*-aminoindanol (–)-**1**. However, the Diels–Alder reaction and the aromatization needed vigorous reaction conditions (200 °C, 72 h and 200 °C, 48 h) and the yields of these reactions were not constant. Therefore, to apply the achieved one-pot asymmetric azaelectrocyclization reaction to the naturally-occurring alkaloid synthesis, it was necessary to improve the synthesis of (–)-7-isopropyl-*cis*-aminoindanol (–)-**1**.



Scheme 11. First-Generation Synthetic Route of 7-Isopropyl-*cis*-aminoindanol (–)-**1**

For the second-generation synthesis of (–)-**1**, we planned to utilize the Nozarov reaction to construct the indene core.²⁴ The synthesis of isopropyl indene **81** is shown in scheme 12. Commercially available 2-isopropylphenol (**83**) was reacted with trifluoromethanesulfonic anhydride to provide the corresponding triflate **84**, which was followed by the carbon monoxide insertion with the aid of a palladium catalyst

(Pd(OAc)₂, dppf) that afforded the 2-isopropylbenzoic acid (**85**).²⁵ The resulting acid **85** was converted into the Weinreb amide **86**, which was transformed into the aryl vinyl ketone **87** by the reaction with vinyl magnesium bromide in 87% yield. With the Nozarov reaction precursor **87** in hand, the cyclization was attempted. In this trial, we encountered the problem of the easier polymerization of the ketone **87** rather than the cyclization to provide the indanone **88**. After several trials, we found suitable reaction conditions such that the dropwise addition of a pentane solution of **87** into conc. sulfuric acid at 70 °C followed by the collection of the distilled compound produced the desired indanone **88** in 93% yield without polymerization. Reduction and then dehydration of the indanone **88** provided the indene **81** in 97% yield for 2 steps (Scheme 12).²⁶ It was noteworthy that no purification was necessary in the last three steps.



Scheme 12. Second-Generation Synthetic Route of 7-Isopropyl-*cis*-aminoindanol (-)-**1**

Thus, we realized the efficient synthesis of 7-isopropyl-*cis*-aminoindanol (-)-**1** by utilizing the Nozarov reaction as the key step. This procedure is actually convenient and helped us to establish the one-pot asymmetric azaelectrocyclization protocol as a new strategy for alkaloid synthesis.

4. SYNTHESIS OF CHIRAL POLYSUBSTITUTED PIPERIDINES

The substituted piperidines can be regarded as one of the core structures of naturally-occurring alkaloids, including the indole alkaloids (Figure 1).²⁷ These functionalized six-member nitrogen heterocycles have drawn a great deal of attention due to their attractive pharmacological activities. The stereocontrolled synthesis of polysubstituted piperidines has therefore been a current topic in the synthetic community.^{28,29} When enantiomerically-pure polysubstituted piperidines are easily accessible resulting from the successful introduction of the desired alkyl substituents to the desired positions of a chiral piperidine ring, a widely applicable synthetic strategy for alkaloids consisting of a polysubstituted piperidine ring will be envisioned.^{28c,30}

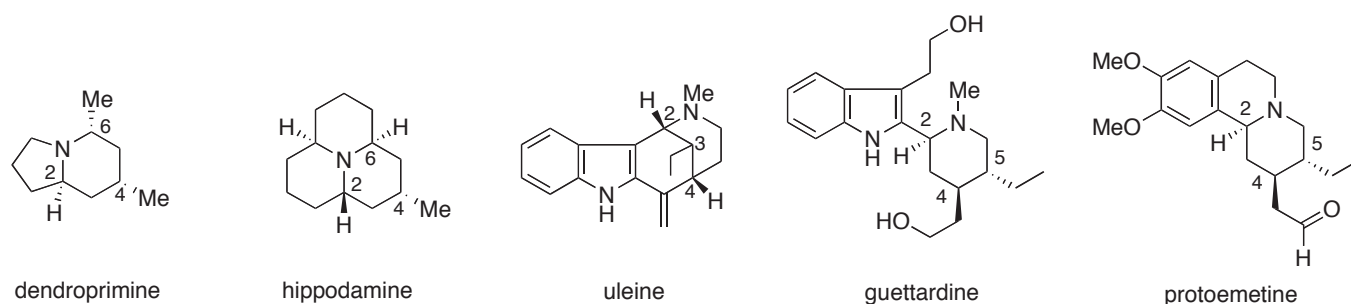
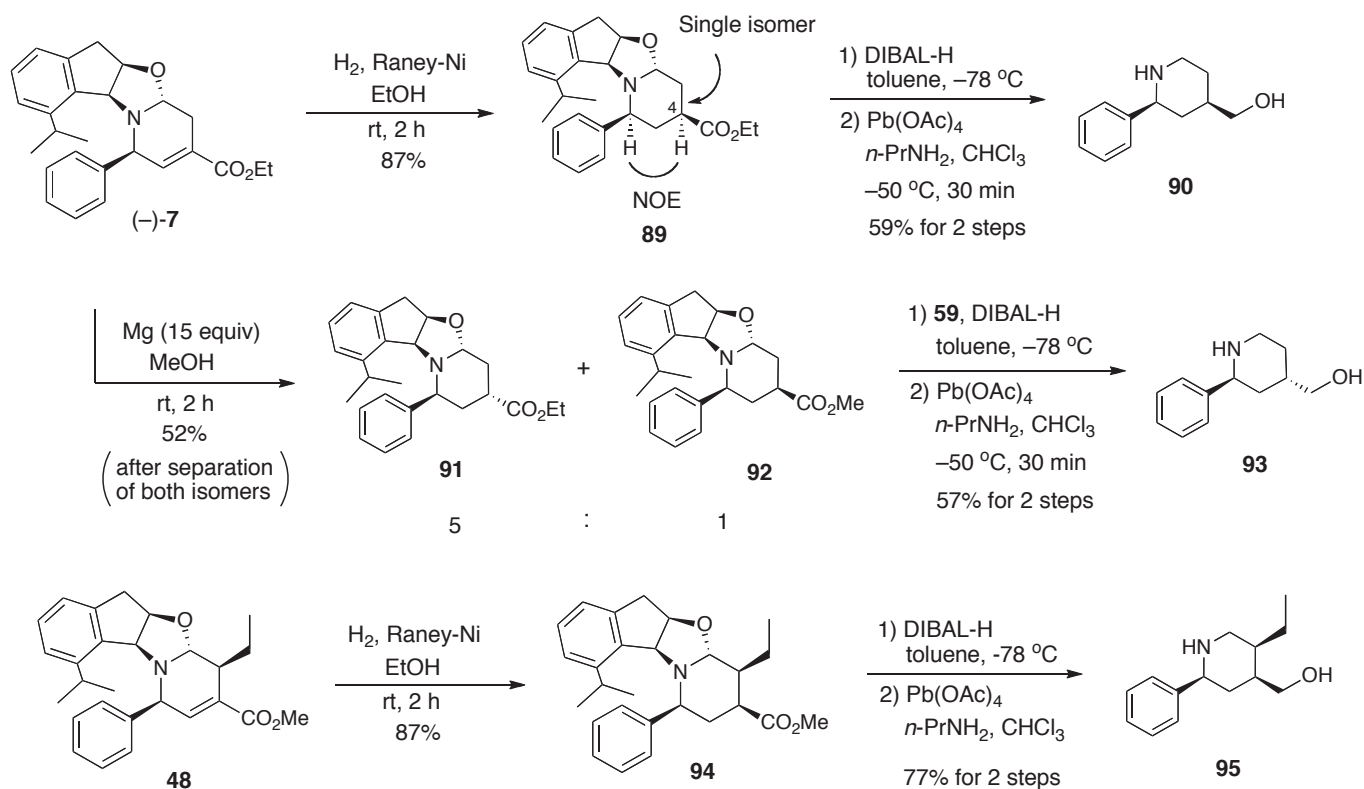


Figure 1. Alkaloids Including Piperidine Core

4-1. Synthesis of 2,4-Disubstituted and 2,4,5-Trisubstituted Piperidines

In order to synthesize the 2,4-disubstituted piperidines from the azaelectrocyclization product (–)-**7**, we examined the stereoselective reduction of the conjugated double bond in (–)-**7**, which can be readily prepared using the one-pot azaelectrocyclization protocol. Although (–)-**7** was decomposed by using Pd/C as a hydrogenation catalyst and both the conjugated double bond and the aminal moiety were reduced by applying PtO₂, fortunately, the chemoselective hydrogenation of (–)-**7** with W-2 Raney-Nickel successfully produced the desired C-4 β-ester **89** as a single stereoisomer in 87% yield. Meanwhile, when (–)-**7** was treated with magnesium in methanol, the C-4 α-isomer **91** was stereoselectively produced as the major product in the ratio of 5:1.³¹ Since both diastereoisomers **89** and **91** were selectively obtained by

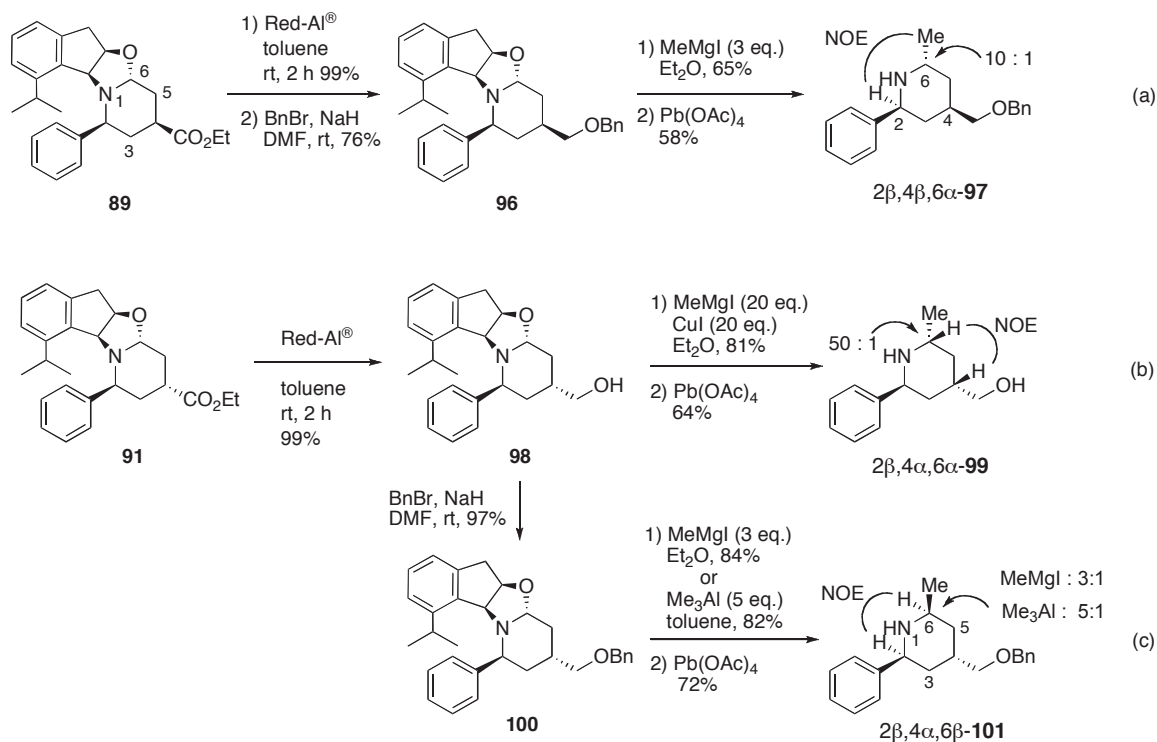


Scheme 13. Stereocontrolled Synthesis of 2,4- and 2,4,5-Substituted Piperidines

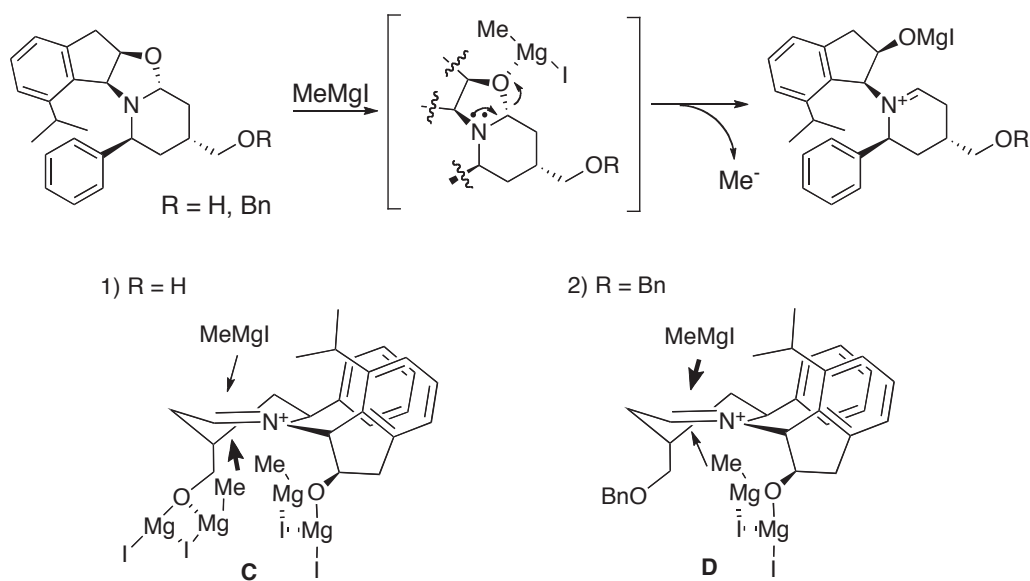
choosing the reducing reagent, removal of the indane moiety was next. The obtained saturated compounds **89** and **91** were treated with DIBAL-H at $-78\text{ }^{\circ}\text{C}$ to provide the corresponding diols in 79% and 83% yields, respectively, which were treated with lead tetraacetate in the presence of *n*-propylamine at $-50\text{ }^{\circ}\text{C}$ as mentioned in Chapter 2-2 to afford the desired piperidine compounds **90** and **93** in 75% and 69% yields, respectively (Scheme 13) (see 2-2, Scheme 6). Next, in order to synthesize the 2,4,5-trisubstituted piperidines, the chemoselective hydrogenation of **48** with W-2 Raney-Nickel produced the desired C-4 β -ester **94** as a single stereoisomer in 87% yield. The reduction with DIBAL-H followed by the removal of the hydroxyindane moiety of the C-4 β -ester **94** with lead tetraacetate provided the (2 β ,4 β ,5 β)-trisubstituted piperidine derivative **95** in 77% yield.³² Thus, the synthetically practical route for producing the chiral 2,4,5-trisubstituted chiral piperidine was established in addition to the preparation of the 2,4-disubstituted one.

4-2. Stereocontrolled Alkylation of the Aminal Moiety to Produce 2,4,6-Trisubstituted Piperidines

We next planned to synthesize the 2,4,6-trisubstituted piperidines by the stereoselective alkylation of the aminal moiety. In order to realize these alkylations by Grignard reagents in a stereoselective manner, the chemoselective reduction of the C-4 ester group in both **89** and **91** was required. Although LAH and DIBAL-H gave the corresponding diols, fortunately, we found that treatment of the aminal compounds **89** and **91** with Red-Al[®] at room temperature clearly produced the expected corresponding alcohols in a quantitative manner, which were transformed into the corresponding benzyl ethers **96** and **100**. The stereoselective alkylation on the aminal moiety of these compounds was then attempted.^{7b,33} The reaction of the C-4 β -benzyloxymethyl derivative **96** with MeMgI stereoselectively provided the (2 β ,4 β ,6 α)-trisubstituted piperidine derivative **97** in 38% yield with a 10 : 1 diastereoselectivity after removal of the hydroxyindane moiety by a lead tetraacetate treatment (Scheme 14, a). In this case, the addition of *n*-propylamine was not necessary probably because of the crowded nature of the piperidine nitrogen. When the C-4 α -hydroxymethyl derivative **98** was treated with methylmagnesium iodide (20 equivalents) and CuI (20 equivalents) in ether, the C-6 α -methyl isomer was obtained in 81% yield as almost a single isomer. The hydroxyindane moiety of the methylated compounds was then removed and the (2 β ,4 α ,6 α)-trisubstituted piperidine derivative **99** was obtained in 64% yield (Scheme 14, b). The high stereoselectivity of the methylation of **98** could be explained by assuming that the alkylation proceeded through the aggregated species of a methylmetal (Mg or Cu) complex coordinating to the C-4 α -hydroxymethyl group in addition to the hydroxyindane moiety of the iminium ion intermediary (Scheme 15, C). On the other hand, the methylation of benzyl ether **100** with MeMgI mainly proceeded from the opposite site of the C-4 benzyloxymethyl group to give the C-6 β -methyl isomer as a major product in the ratio of 3:1, and a Me₃Al treatment of **100** in ether provided the same C-6 β -isomer with



Scheme 14. Stereocontrolled Synthesis of 2,4,6-Substituted Piperidines



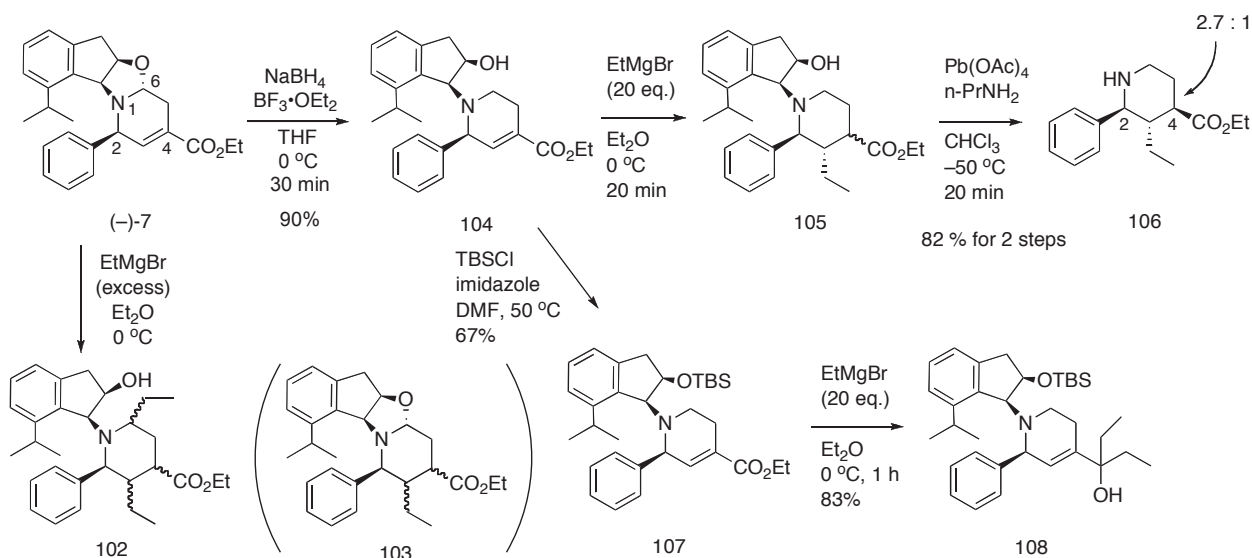
Scheme 15. Plausible Mechanism of Alkylation on Aminal Moiety

the higher selectivity of 5:1 (Scheme 14). Apparently, the steric factor due to the benzyl protecting group overrode the coordination of the Grignard reagent to the oxygen function at the C-4 position (Scheme 15, D). The removal of the hydroxyindane moiety of the resulting C-6 β-methylated compound provided the (2β,4α,6β)-trisubstituted piperidine derivative **101** in 72% yield (Scheme 14, c). The relative configurations of compounds **97**, **99** and **101** were determined based on the NOE in the ¹H NMR. Thus, we established a method to synthesize the three chiral 2,4,6-trisubstituted piperidine diastomers **97**, **99**

and **101** from the common intermediate (–)-**7**, which was easily obtainable by the one-pot azaelectrocyclization reaction.

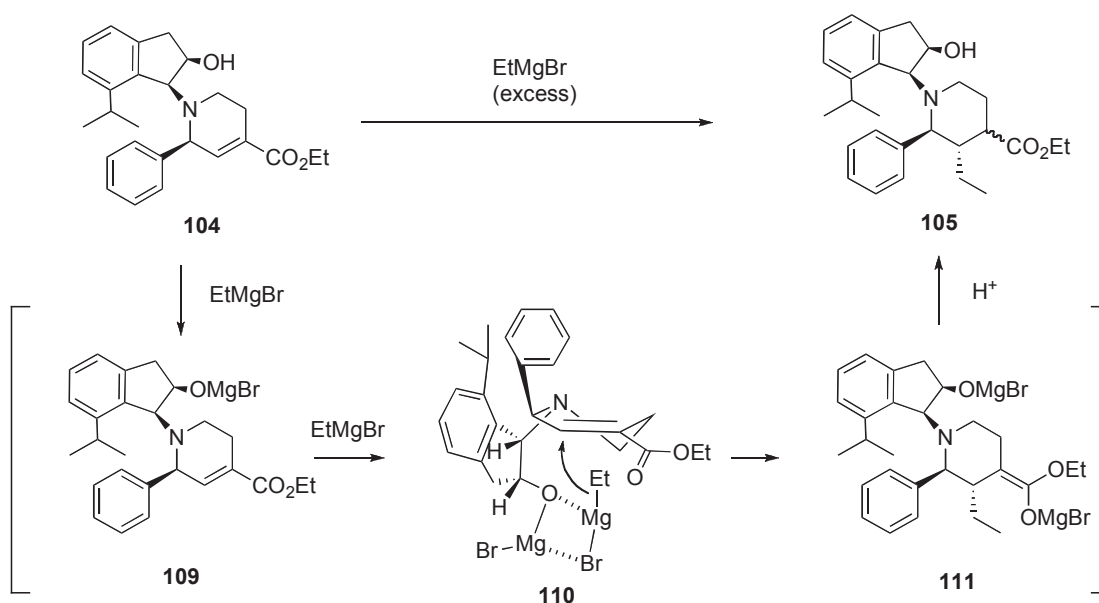
4-3 Novel 1,4-Addition Reaction of the Unsaturated Ester Moiety to Produce 2,3,4-Trisubstituted Piperidines

For the synthesis of the 2,3,4-trisubstituted piperidine compounds, the 1,4-addition reaction of the unsaturated ester (–)-**7** was attempted. The treatment of (–)-**7** with an excess amount of ethylmagnesium bromide at 0 °C spontaneously provided the dialkylated ester **102** as a mixture of stereoisomers, and no 1,4-addition product **103** was detected. We postulated that the 1,4-addition of an ethyl group to the unsaturated ester moiety would occur after alkylation at the aminal moiety proceeded. We then decided to attempt the 1,4-addition reaction with ethylmagnesium bromide for the aminoindanol derivative **104**, which would be obtained from the aminal (–)-**7** by chemoselective reduction. Fortunately, we found that the treatment of (–)-**7** with NaBH₄ in the presence of BF₃·OEt₂ in THF at 0 °C successfully provided the desired alcohol **104** in 90% yield, which was attempted by the 1,4-addition reaction. The reaction with an excess amount of ethylmagnesium bromide in ether at 0 °C for 20 min cleanly proceeded to produce the 1,4-addition product **105** as a mixture of stereoisomers, which could not be separately isolated at this stage. Removal of the indanol moiety of **105** with lead tetraacetate under the established condition produced a mixture of the 2,3,4-trisubstituted piperidine **106** and its stereoisomer in a 2.7 : 1 ratio, which were cleanly separated from each other. The stereochemistry of the major isomer **106** was determined as 2β, 3α, 4β in the piperidine ring based on an analysis of the NMR data. On the contrary, the reaction of the silyl ether **107** produced the tertiary alcohol **108** with ethylmagnesium bromide. Thus, the secondary hydroxyl group of the aminoindanol moiety was essential for the 1,4-addition reaction.



Scheme 16. Stereocontrolled Synthesis of 2,3,4-Substituted Piperidine

A plausible mechanism for this highly stereoselective 1,4-addition reaction is shown in Scheme 17. It was postulated that the coordination of the Grignard reagent with the OMgBr group prepared from the Grignard reagent and the hydroxyl group in the *cis*-aminoindanol moiety was generated during the alkylation process in a manner similar to that of the methylation of **98** (Scheme 14 and 15),^{33d} and then the ethyl group attacked from the opposite direction of the phenyl group as shown in structure **110**.



Scheme 17. Plausible Mechanism of 1,4-Addition Reaction

Table 5. 1,4-Addition Reaction of Unsaturated Carbonyl Compounds

entry	R ¹	R ²	yield (2 steps) (mixture of C-4 stereoisomers)	C4 ratio α : β	byproduct		
1	112	115		CO ₂ Et	118 : 75%	1 : 3.8	
2	113	116			119 : 41%	1 : 2.2	
3	114	117			Complex Mixture		

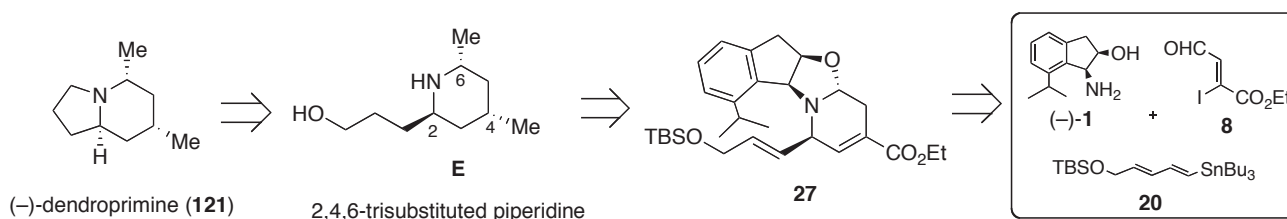
In order to investigate the generality of this novel 1,4-addition reaction assisted by the internal hydroxy group, we applied the reaction to a thiophene derivative **112**, which was obtained by the chemoselective reduction of **26** (see Table 2), instead of a phenyl derivative **104** (Table 5, entry 1). The reaction under the same conditions gave the corresponding 1,4-addition product **118** through **115** in 75% yield and a rather better stereoselectivity at the C4 ester group. On the contrary, the attempted 1,4-addition reaction of the corresponding methyl ketone derivative **113**, which was easily derived from the amide **114**, gave the 1,2-addition product **120** along with the 1,4-addition product **119** in 26% and 41% yields, respectively. In the case of the amide **114**, the reaction gave a complex mixture and 1,4-addition product was not isolated. These results indicated that the unsaturated ester group was appropriate for the desired 1,4-addition reaction (Table 5).

Thus, the construction of the 2,3,4-trisubstituted piperidine core from the aminor (-)-**7** was established by the highly stereoselective 1,4-addition reaction with the Grignard reagent utilizing the novel neighboring participation.

5. SYNTHESIS OF NATURALLY-OCCURRING ALKALOIDS POSSESSING A SUBSTITUTED PIPERIDINE CORE

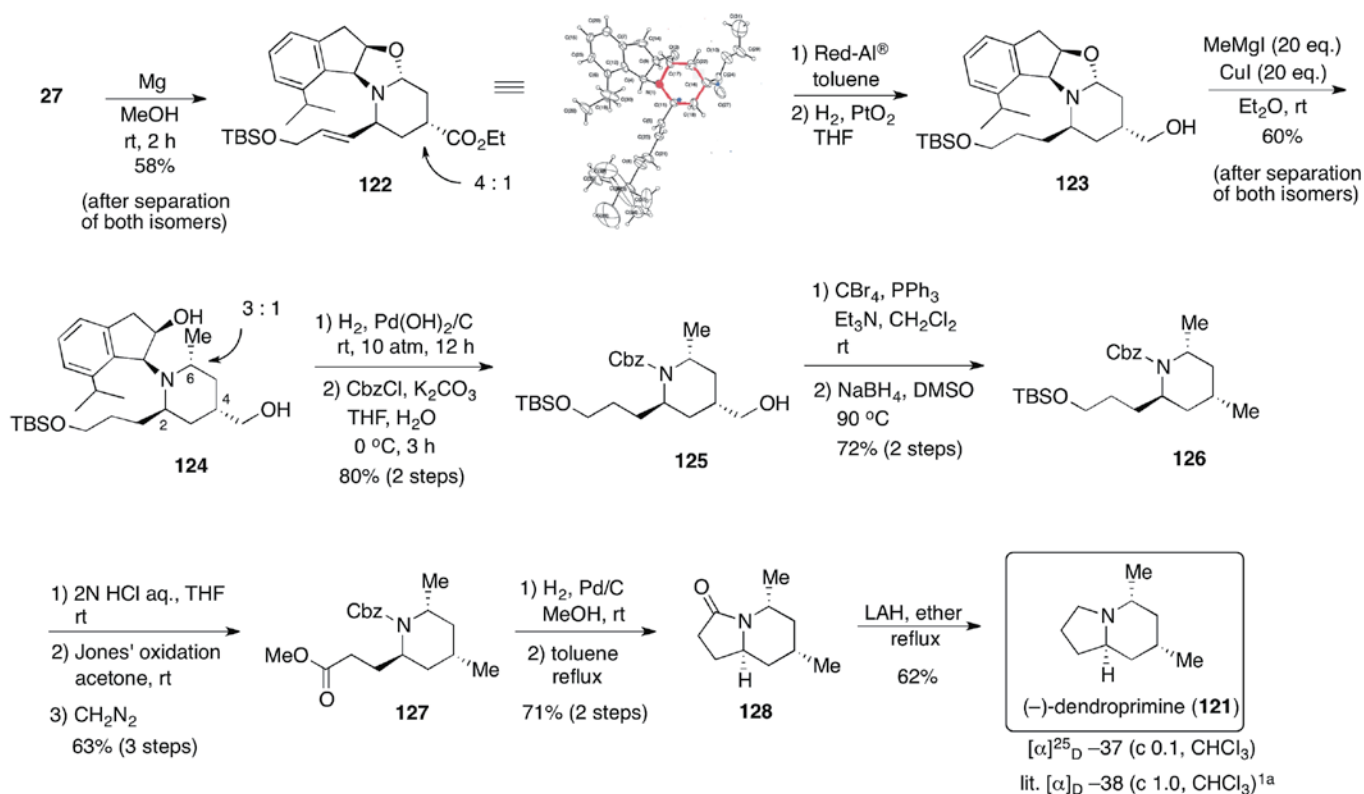
5-1. Synthesis of Indolizidine Alkaloid, (-)-Dendroprimine, with 2,4,6-Trisubstituted Piperidine Core

In order to demonstrate the established protocol for the polysubstituted piperidine synthesis, we examined the asymmetric synthesis of an indolizidine alkaloid, (-)-dendroprimine (**121**), which was isolated from *Dendrobium primulinum* Lindl (*Orchidaceae*) and characterized by Luning and his co-workers in 1965.^{27a} The relative configuration of the stereogenic centers of dendroprimine was determined by the synthesis of the four racemic diastereomers of this indolizidine alkaloid, and the absolute configuration was also reported by the same group in 1972.^{27b,27c} The enantioselective synthesis of (-)-dendroprimine (**121**) and its two isomers were reported by Gelas-Mialhe in 2004,³⁴ and the synthesis of (-)-5,7-epidendroprimine was reported by two other groups.³⁵ Our synthetic analysis of (-)-dendroprimine (**121**) is shown in Scheme 18. We envisioned that dendroprimine (**121**) could be synthesized from the 2,4,6-trisubstituted piperidine **E**, which was prepared from the one-pot azaelectrocyclization product **27** by applying the established method for the 2,4,6-trisubstituted piperidine synthesis.



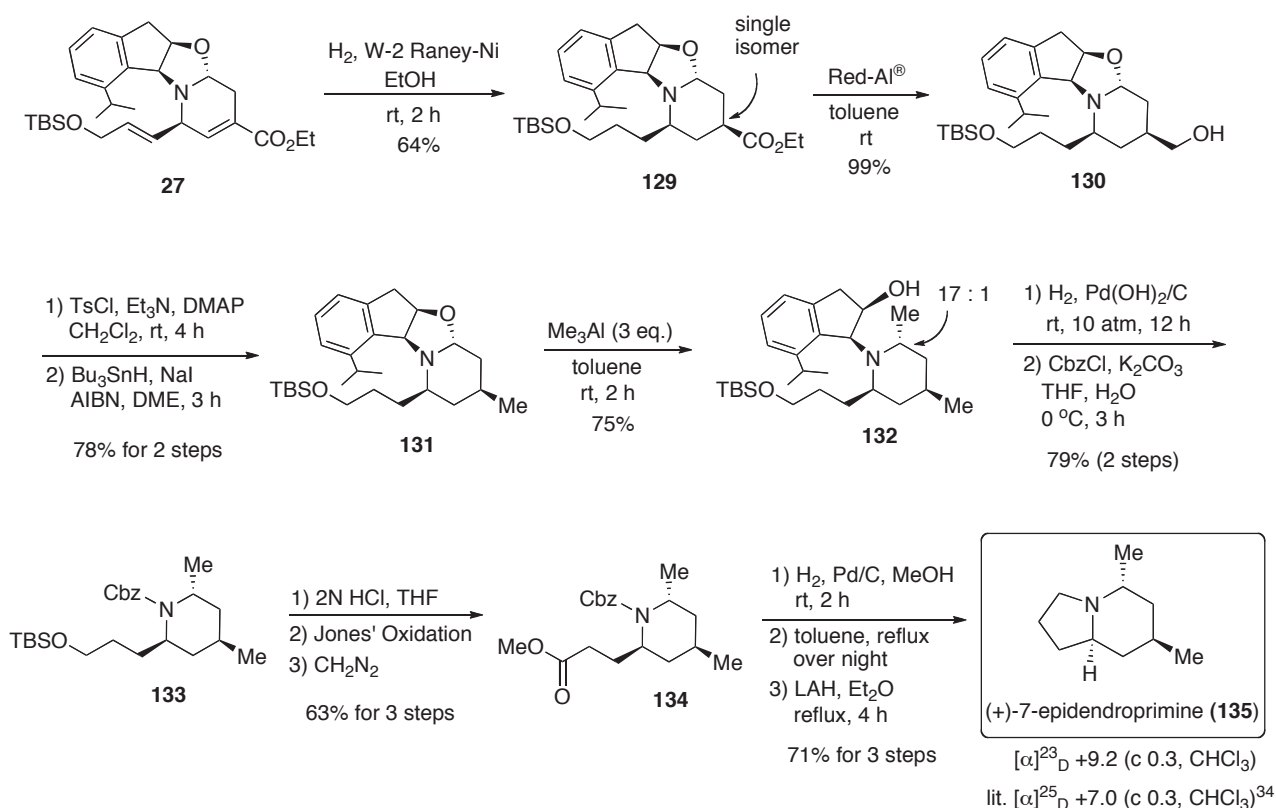
Scheme 18. Synthetic Strategy for (-)-Dendroprimine (**121**) by One-pot Azaelectrocyclization Protocol

According to the established procedure (see Scheme 14), reduction of the double bond conjugated with the ester of **27** with magnesium in methanol selectively provided the C-4 α -isomer **122** with the ratio of 4 : 1. The relative stereochemistry of the major isomer **122** was unambiguously determined by an X-ray crystallographic analysis. Reduction of the ester group of the isolated **122** with Red-Al[®] followed by catalytic hydrogenation using PtO₂ afforded the corresponding alcohol **123** in 87% yield for 2 steps. The reaction with MeMgI and CuI provided compound **124** with the selectivity of 3 : 1, which was isolated by column chromatography. Obviously, the substituents at the C-2 position of the piperidine ring influenced the stereoselectivity of the methylation (compare **99** and **124** in Scheme 14 and Scheme 19). The removal of the indanol moiety in **124** was achieved by catalytic hydrogenation, and the resulting piperidine nitrogen was protected by a Cbz group in 80% yield for two steps. Although the hydrogenolytic removal of the indanol moiety afforded a better yield than the oxidative condition (Pb(OAc)₄/*n*-PrNH₂) in compound **124**, the procedure was not effective for the C-2 aryl substrate due to the cleavage of the benzylic C-N bond. Interconversion of the hydroxymethyl group of the obtained **125** to the methyl group of **126** was successful using Puglis's method.³⁶ Thus, compound **125** was treated with CBr₄/PPh₃ followed by NaBH₄ reduction in DMSO to successfully produce the methyl derivative **126** in 72% yield. The terminal TBS ether group was converted into the corresponding methyl ester **127** by the sequence of removing the TBS, Jones oxidation and esterification. The removal of the Cbz group followed by heating the resulting amine in toluene caused smooth cyclization to produce the corresponding lactam derivative

Scheme 19. Total Synthesis of (-)-Dendroprimine (**121**)

128.³⁷ Finally, the reduction of the lactam carbonyl group of **128** with LiAlH_4 under reflux in ether provided the (–)-dendroprimine (**121**) (Scheme 19).

The spectral data (^1H and ^{13}C NMR) of the synthesized (–)-dendroprimine (**121**) were in good agreement with those published in the literature.^{27a,34} Moreover, we also achieved the syntheses of three diastereomers, (+)-5-epidendroprimine, (+)-7-epidendroprimine, and (+)-5,7-epidendroprimine, in addition to correcting the stereochemistry of the previously reported (+)-5-epidendroprimine.³⁸ Especially, in the synthesis of (+)-7-epidendroprimine (**135**), we could realize the complete stereocontrol as shown in Scheme 20.

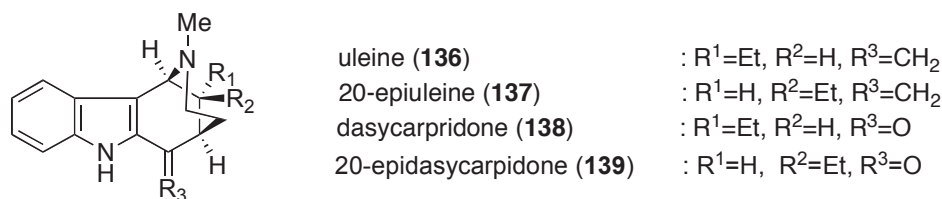


Scheme 20. Total Synthesis of (+)-7-Epidendroprimine (**135**)

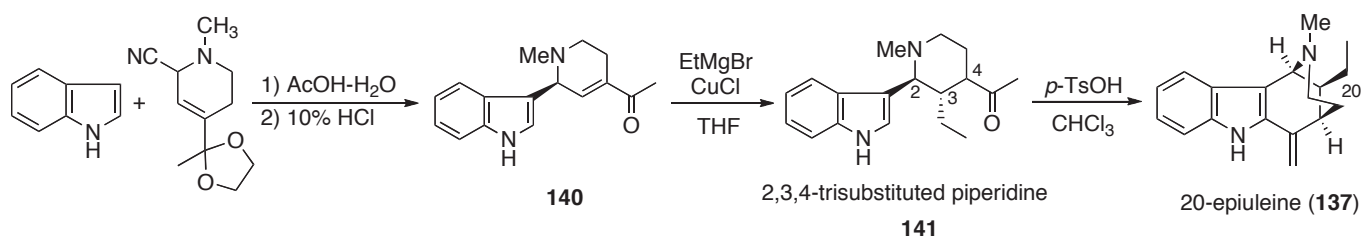
Thus, the total syntheses of (–)-dendroprimine (**121**) and its three stereoisomers were achieved by the developed synthetic procedure for the 2,4,6-trisubstituted piperidine core.

5-2. Synthesis of *Strychnos*-Type Indole Alkaloid, (–)-20-Epiuleine, with 2,3,4-Trisubstituted Piperidine Core

Uleine (**136**), 20-epiuleine (**137**), dasycarpidone (**138**) and 20-epidasycarpidone (**139**) are four related members of the *Strychnos*-type indole alkaloids, which were principally isolated from *Aspidosperma* sp. (Figure 2).^{27f}

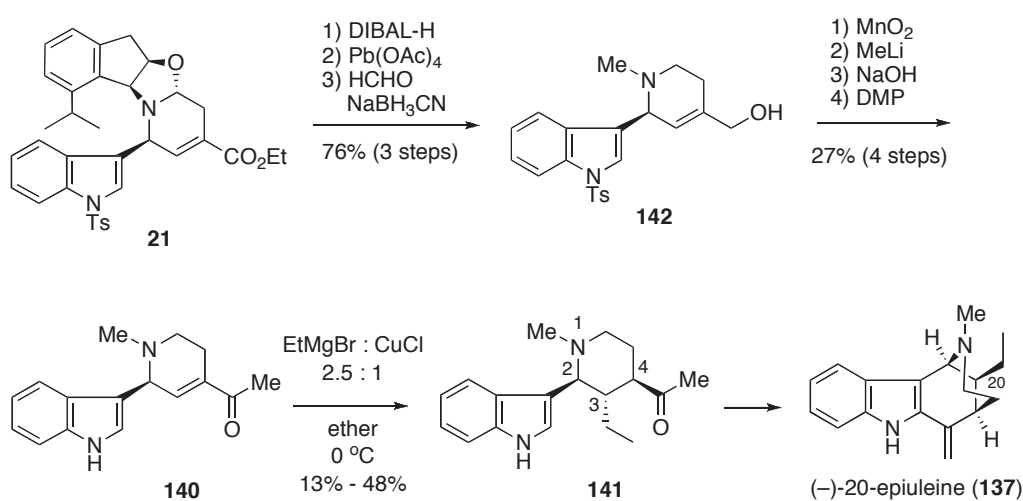
Figure 2. *Strychnos*-Type Indole Alkaloids

These indole alkaloids lack the two-carbon chain from tryptophan. Although a number of synthetic efforts for the racemic 20-epiuleine (**137**) and 20-epidasycarpidone (**139**) along with uleine (**136**) and dasycarpidone (**138**) were reported in the literature,^{38,39} only a few asymmetric syntheses of these indole alkaloids were reported.^{1a,1b,40} The structural feature of these alkaloids is the 2-aza-bicyclo[3,3,1]nonane skeleton, which could be constructed from the 3-(2-piperidyl)indole derivative **141** by an acid-catalyzed cyclization followed by dehydration.³⁹ The synthesis of (±)-20-epiuleine (**137**) reported by Husson is shown in Scheme 21. Therefore, the objective was how to efficiently synthesize the optically homogeneous 2-indolyl-3-ethyl-4-carbonyl piperidine compound such as **141**, which was classified as a 2,3,4-trisubstituted piperidine skeleton and was obtained in the *dl*-form by the 1,4-addition of the unsaturated ketone **140** (Scheme 21).^{39c,39d}

Scheme 21. Total Synthesis of 20-Epiuleine (**137**) by Husson

Previously, we reported the formal synthesis of the optically active 20-epiuleine (**137**) utilizing the step-wise asymmetric 6π -azaelectrocyclization.^{1a,1b} In that formal synthesis, although we synthesized the optically homogeneous 2-indolyl-4-acetyl-1,2,5,6-tetrahydropyridine **140**, the transformation to the corresponding chiral 2,3,4-trisubstituted piperidine derivative **141** was not realized. Thus, the procedure for the construction of the chiral 2,3,4-trisubstituted piperidine framework was not yet established. The first synthetic trial of the chiral 2,3,4-trisubstituted piperidine **141** is shown in Scheme 22. The transformation from **21** to the methyl ketone **140** through **142** was accomplished by the sequence of DIBAL-H reduction, lead tetraacetate oxidation in the presence of *n*-propylamine, reductive *N*-methylation (HCHO, NaBH₃CN in CH₃CN), MnO₂ oxidation, methylation with MeLi, hydrolysis of the *N*-tosyl group in the indole ring and then DMP oxidation as previously reported.^{1a,1b} We thus obtained the optically active methyl ketone derivative **140**, which was the key synthetic intermediate of

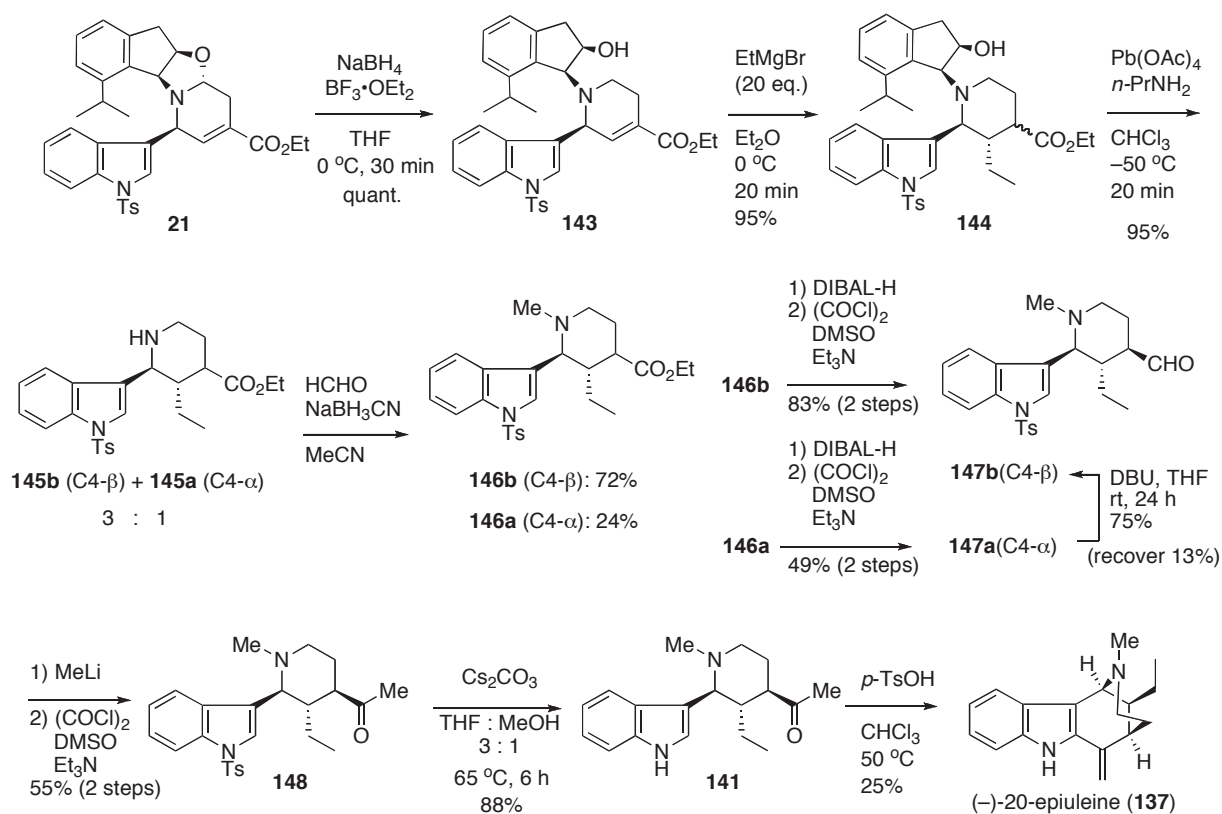
(±)-20-epiuleine (**137**) as reported by Husson's group. We then attempted the 1,4-addition reaction of (–)-**140** under the reaction conditions described in the literature.^{38c} After a detailed investigation of the reaction conditions, we obtained the desired 2,3,4-trisubstituted piperidine compound **141** in 48% yield using excess reagents of ethylmagnesium bromide and copper(I) chloride in the ratio of 2.5:1 in ether. Although we obtained **141**, this 1,4-addition reaction was troublesome and sometimes not reproducible. The yield varied from 48% to 13% under the same employed conditions. We then examined a new 1,4-addition reaction method, and successfully developed a novel 1,4-addition reaction assisted by the neighboring hydroxyl group participation as mentioned above (chapter 4-3).



Scheme 22. Synthesis of 20-Epiuleine through Unsaturated Methyl Ketone **140**

We then applied the newly developed method for the 1,4-addition reaction to the total synthesis of (–)-20-epiuleine (**137**). Chemoselective reduction of the amination moiety of **21**, which was easily obtained by the one-pot asymmetric azacyclization, with NaBH₄ in the presence of BF₃·OEt₂ as previously mentioned, produced the expected alcohol **143**. The reaction of **143** with an excess amount of ethylmagnesium bromide under the established conditions cleanly proceeded to produce the expected 1,4-addition product **144** in 95% yield as a mixture of stereoisomers, which could not be isolated from one another at this stage (Scheme 23). Removal of the indanol moiety in **144** with lead tetraacetate produced the 2,3,4-trisubstituted piperidines **145a** and **145b** in 24% and 71% yields, respectively, which were cleanly separated from each other. The stereochemistry of **145b** was determined as 2β, 3α, 4β in the piperidine ring and that of **145a** as 2β, 3α, 4α by the detailed analysis of the NMR data. Although isomerization of the corresponding methyl ketone **141** was successful in the literature,^{39c,d} various attempts of isomerization at the ester group of **145a** (*t*-BuOK in *t*-BuOH, DBU in DMF and so on) were not successful. Therefore, the obtained **145** was used as a mixture for the next step and transformed into

the methyl ketone **141**. Thus, the reductive *N*-methylation of a mixture of **145b** and **145a** by treatment with a 37% aqueous HCHO solution and sodium cyanoborohydride in acetonitrile produced **146b** and **146a** as a mixture of the easily separable epimers. Each of them was transformed into the aldehydes **147b** and **147a** by DIBAL-H reduction followed by Swern oxidation. At this stage, the undesired isomer **147a** was epimerized to the desired **147b** by a DBU treatment in THF at room temperature. The obtained aldehyde **147b** was transformed into the methyl ketone **141** through **148** by methylation with MeLi, Swern oxidation and then hydrolysis of the *N*-tosyl group of the indole moiety with Cs₂CO₃ in THF and MeOH. Finally, the treatment of **141** with *p*-TsOH completed the total synthesis of (–)-20-epiuleine (**137**) in 25% yield. The spectral data (¹H NMR, ¹³C NMR, IR, HRMS) were in good agreement with those of the natural product. The value of [α]^D of the synthesized compound was –30.1 (CHCl₃, c 0.9), which was reported for the first time.



Scheme 23. Total Synthesis of (–)-20-Epiuleine (**137**)

6. CONCLUSION

In conclusion, we realized the efficient synthesis of chiral piperidines, which possess three different substituents (2,4,5-, 2,4,6- and 2,3,4-substituted piperidines), by utilizing the highly efficient and stereoselective one-pot azaelectrocyclization protocol. The one-pot reaction proceeded by the simple mixing and heating of three components, i.e., vinyl iodides, *cis*-aminoindanols and vinyl stannanes, in the

presence of a palladium catalyst, with a high stereoselectivity and satisfactory yield. By applying this protocol, the total syntheses of (–)-dendroprimine, an indolizidine alkaloid containing the 2,4,6-trisubstituted piperidine motif, and (–)-20-epiuleine, a *strychos*-type indole alkaloid containing the 2,3,4-trisubstituted piperidine motif, were achieved. Thus, the one-pot asymmetric 6π -azaelectrocyclization can be regarded as a powerful reaction for the synthetic strategy of alkaloids possessing 2,4,5-, 2,4,6-, and 2,3,4-substituted piperidine cores. Further applications toward related natural alkaloids are currently being pursued in our laboratory.

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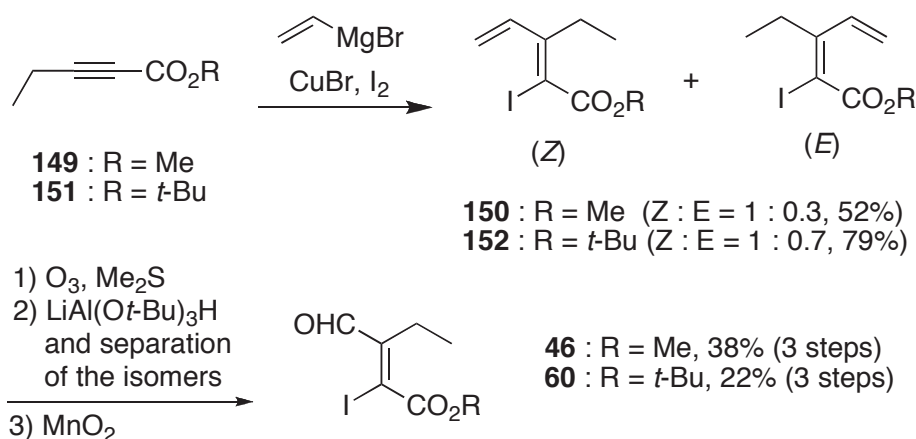
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